



**HATTI MENGAJAR VII 2021**

**Kamis, 19 Agustus 2021**

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**UNIVERSITAS KATOLIK  
PARAHYANGAN**

*Bakuning Hyang Mrih Guna Santyaya Bhakti*

# Type of Retaining Structures



Is it earth retaining structure?

# Type of Retaining Structures



# Type of Retaining Structures



# Type of Retaining Structures



# Type of Retaining Structures



# Type of Retaining Structures



# Type of Earth Retaining Structures

## Fill Wall Construction

### Rigid Gravity, Semi-gravity Wall

1. Cast-in-place (CIP) concrete gravity wall
2. CIP concrete cantilever wall

### Prefabricated Modular Gravity Wall

3. Crib Wall
4. Bin Wall
5. Gabion Wall

### Non-Gravity Cantilever Wall

10. Sheet-Pile wall
11. Soldier pile and lagging wall
12. Slurry (diaphragm) wall (with braced support)
13. Tangent/secant wall
14. Soil mix wall (SMW)

### Non-Gravity Supported Wall

15. Anchored wall

### Mechanical Stabilized Earth (MSE) Wall

6. Segmental precast facing MSE wall
7. Prefabricated modular block facing MSE wall
8. Geotextile-Geogrid/ Welded wire facing wall

### Reinforced Soil Slope (RSS)

9. RSS of various facings

### In-situ Reinforced Wall

16. Soil-nailed wall
17. Micropile

## Cut Wall Construction

Externally Stabilized

Internally Stabilized

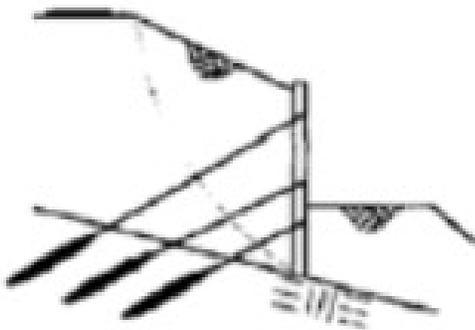
# Type of Retaining Structures

**Purpose:**

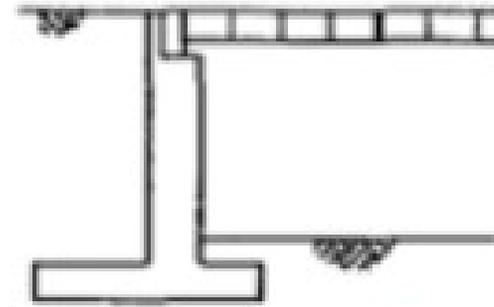
**Stabilize the unstable masses**



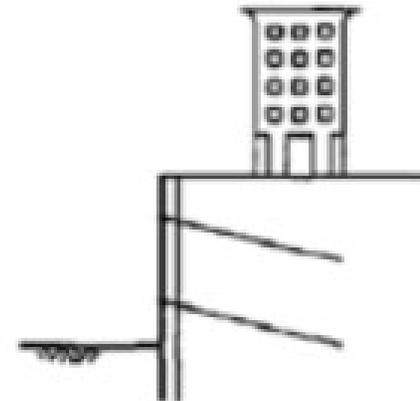
Grade separation



Slope stabilization



Superstructure support



Excavation support

# Type of Retaining Structures



Soil Anchor



Gabion wall



Gravity wall



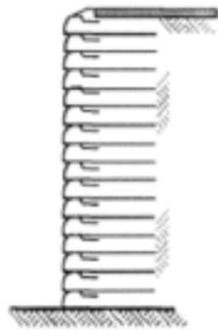
Reinforced wall



Cantilever wall

Identify the types, advantages and disadvantages of different earth retaining systems and select the most technically appropriate and cost-effective type of retaining wall for the application

# Type of Retaining Structures



Wall ( $\beta > 70^\circ$ )



Mechanically Stabilized Earth (MSE) Wall



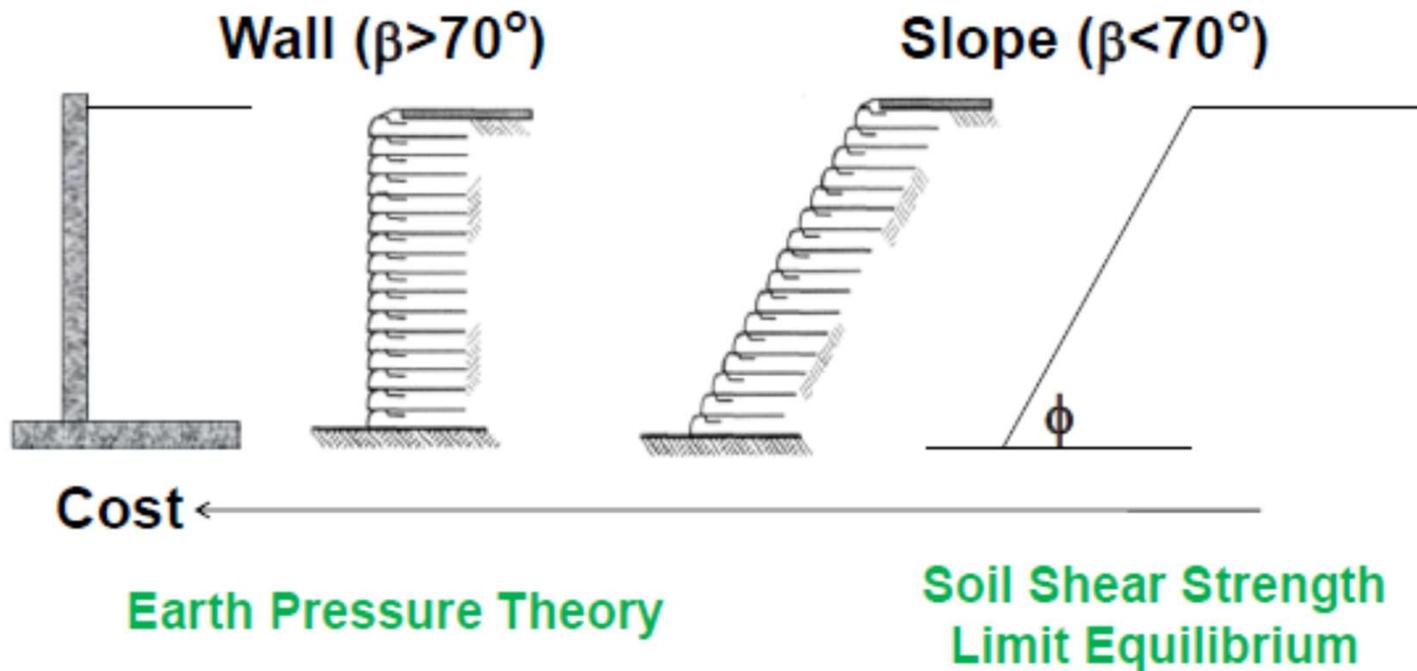
Slope ( $\beta < 70^\circ$ )



Reinforced Soil Slope (RSS)

# Type of Retaining Structures

## Wall vs. Slope



# Type of Retaining Structures

Sheet pile retaining wall and lateral supporting system

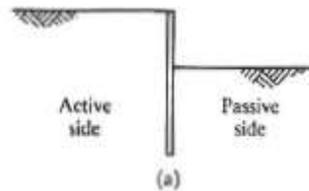


1976

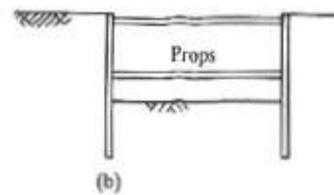
Courtesy from Dr J. Endicott)

# Type of Retaining Structures

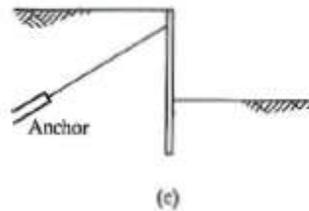
Cantilever retaining wall



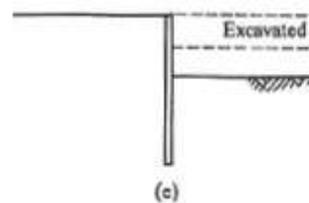
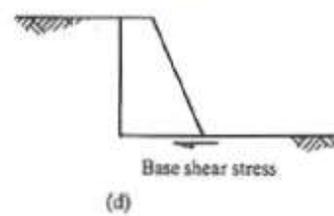
Propped cantilever retaining wall



Anchored retaining wall



Gravity wall



Gravity wall supporting fill

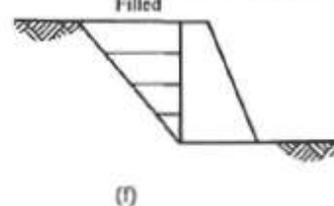


Figure 2-1 Principle types of retaining structure ( Atkinson, 1993)

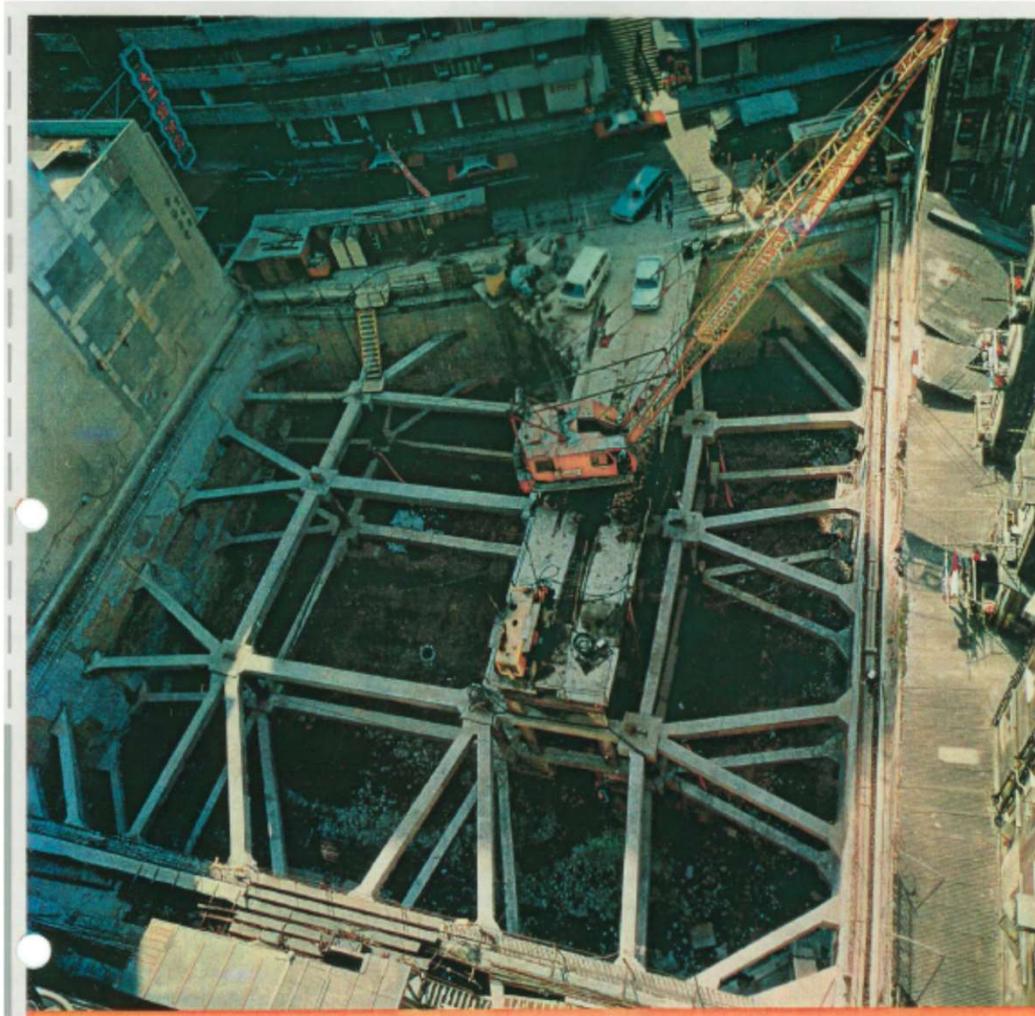
# Type of Retaining Structures

## Diaphragm wall

1. Excavation of barrette trench
2. Insertion of reinforcement cage
3. Placing of concrete by tremie pipe



# Type of Retaining Structures



# Type of Retaining Structures



# Type of Retaining Structures

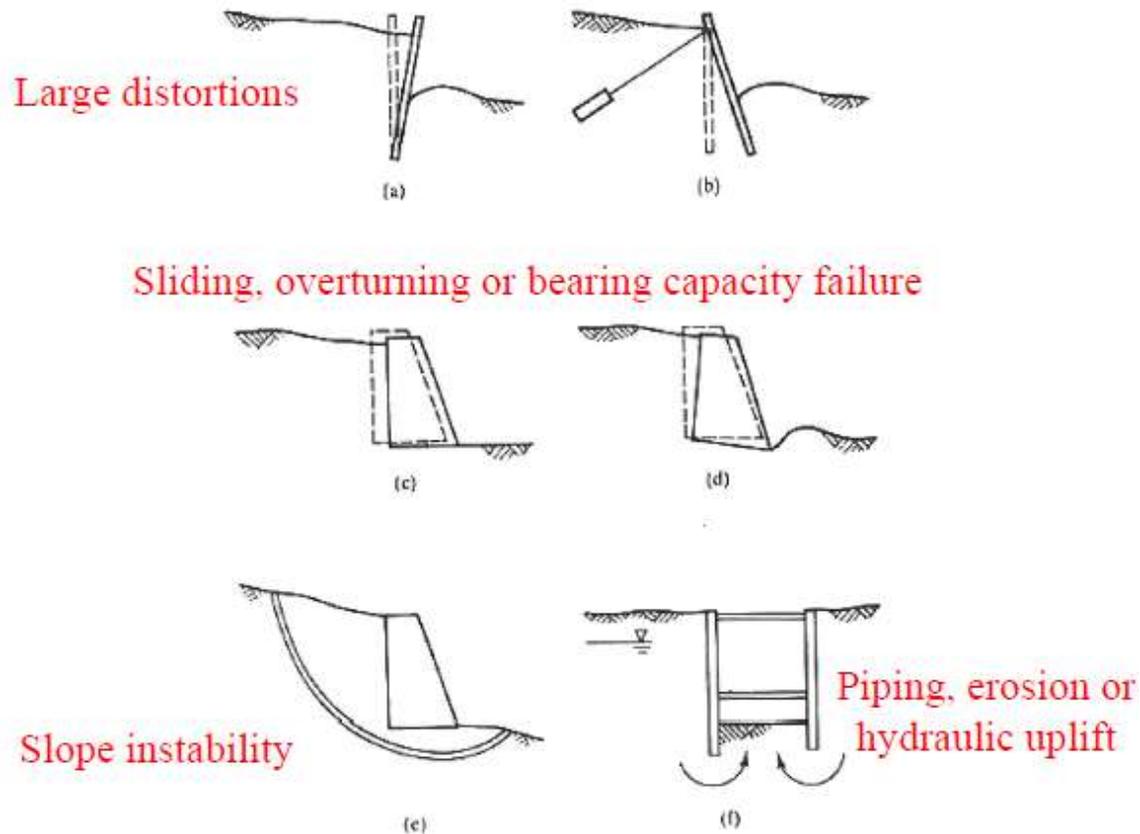


Figure 2-2 Mechanisms of failure of retaining walls ( Atkinson, 1993)

# Type of Retaining Structures

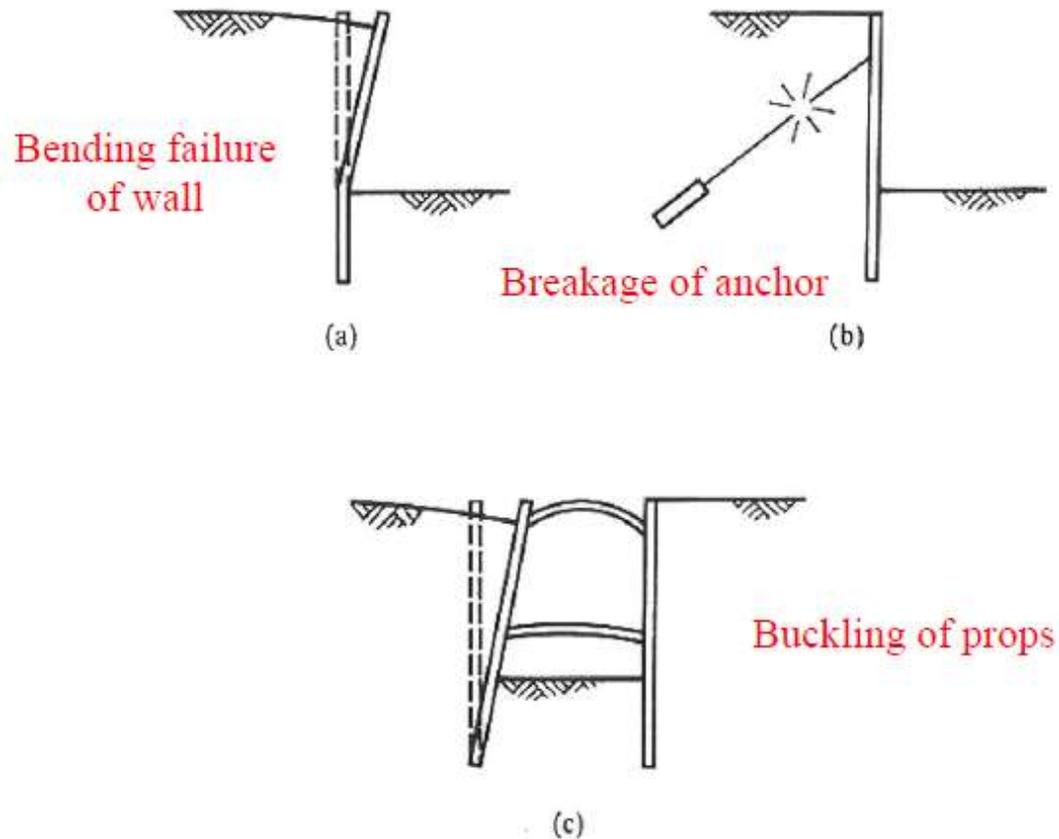


Figure 2-3 Structural failures of retaining walls ( Atkinson, 1993)

# Retaining Wall Failure



# Retaining Wall Failure



# Retaining Wall Failure



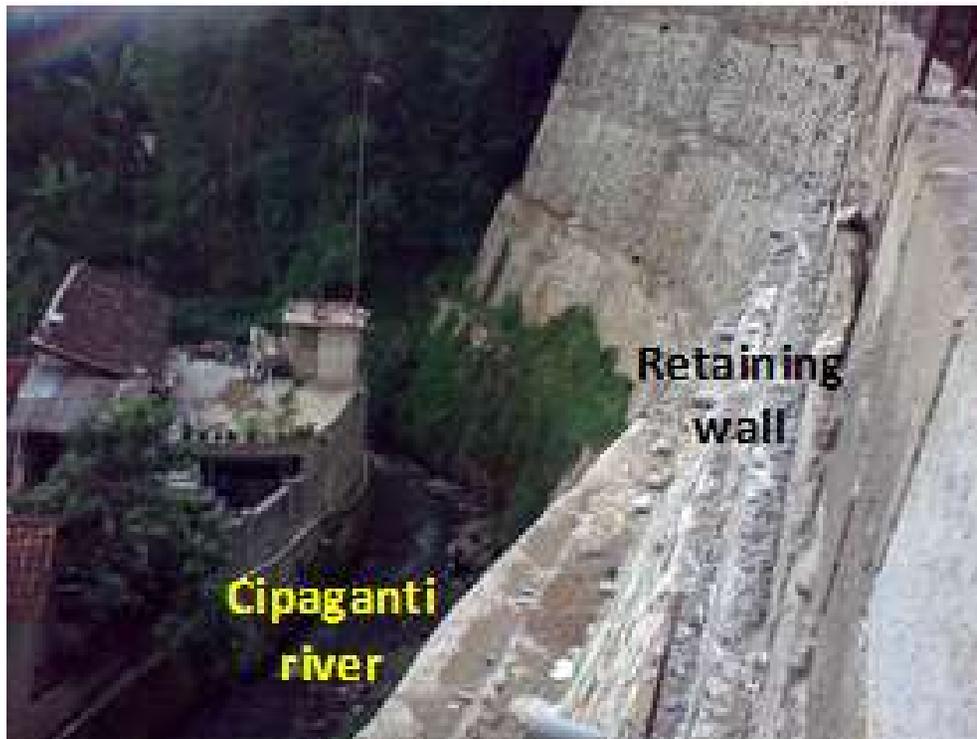
# Retaining Wall Failure



# Retaining Wall Failure



# Retaining Wall Failure



(a). Before Failure



(b). After Failure

# Retaining Wall Failure



(b). After Failure

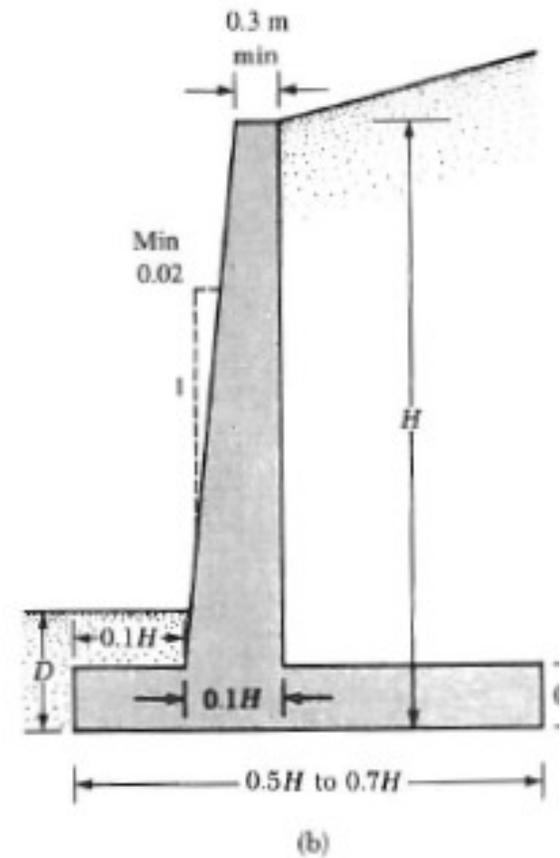
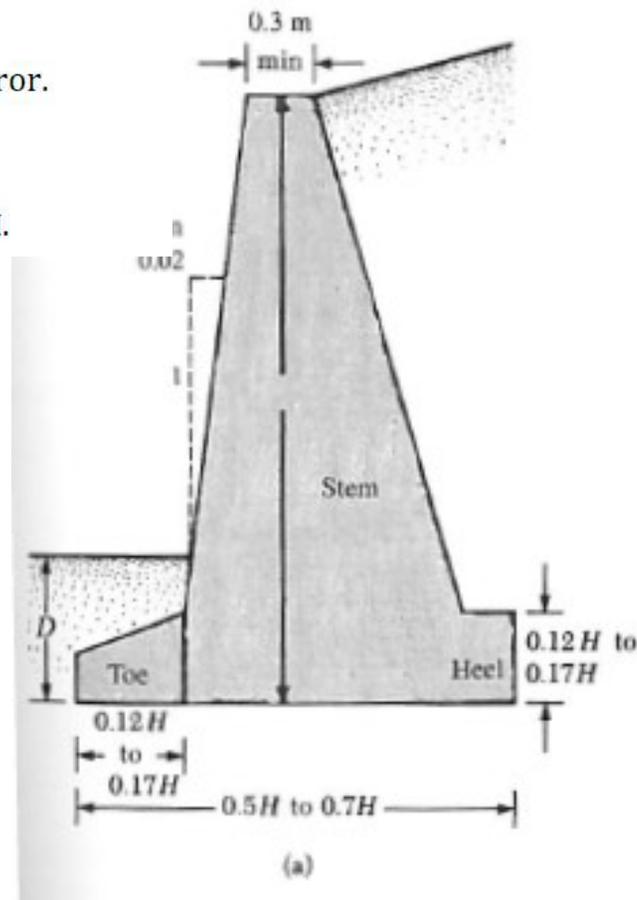
# Conceptual Design

- In **DESIGN** a retaining wall, we need to know
  - . The **basic soil properties** of soil behind the wall, such as **unit weight, friction angle, cohesion.**
  - . Two major steps in design
    - **Calculate the lateral earth pressure, and check for stability including overturning, sliding and bearing capacity failures**
      - . Use lateral earth pressure theory and bearing capacity theory
    - **Check each component of the structure for adequate strength and determine the steel reinforcement of each component**

# Proportioning Retaining Wall

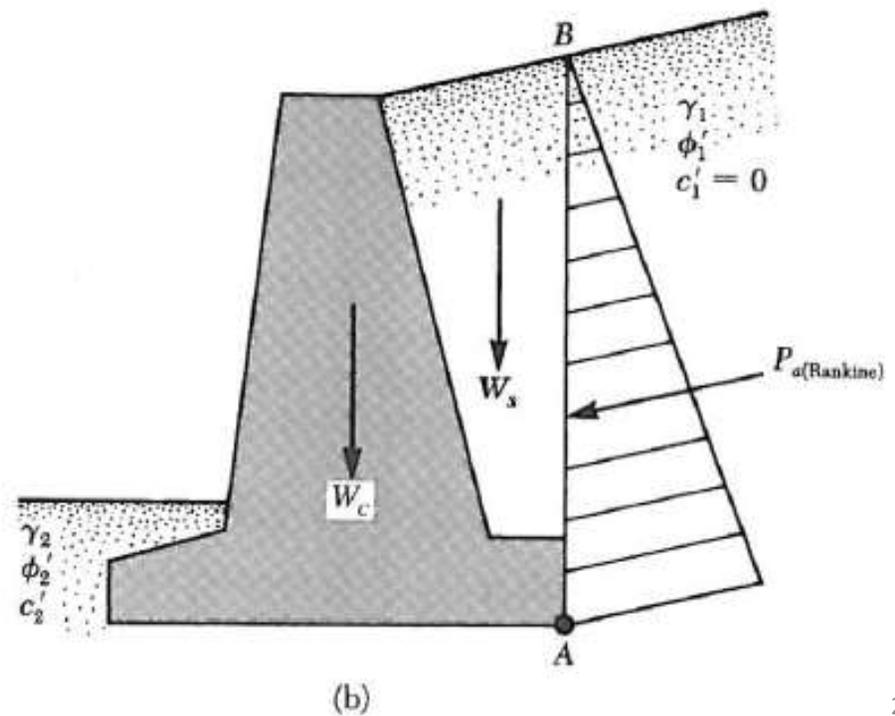
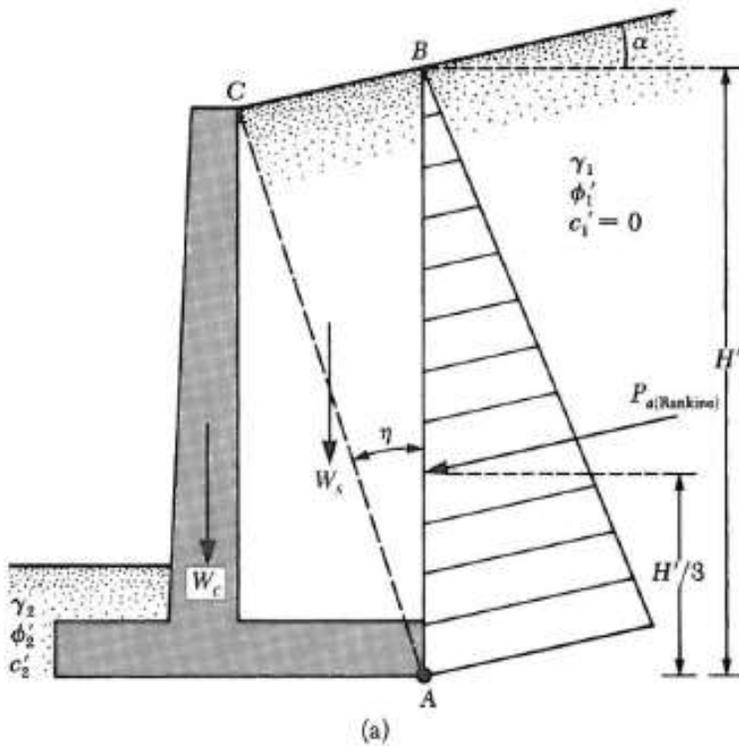
Assume the dimensions first – proportioning

- And then check the stability, essential try-and-error.
- Minimum
  - Top: 0.3m; D: 0.6m
  - Counter-fort slabs: 0.3m thick spaced at  $0.3H$ - $0.7H$ .



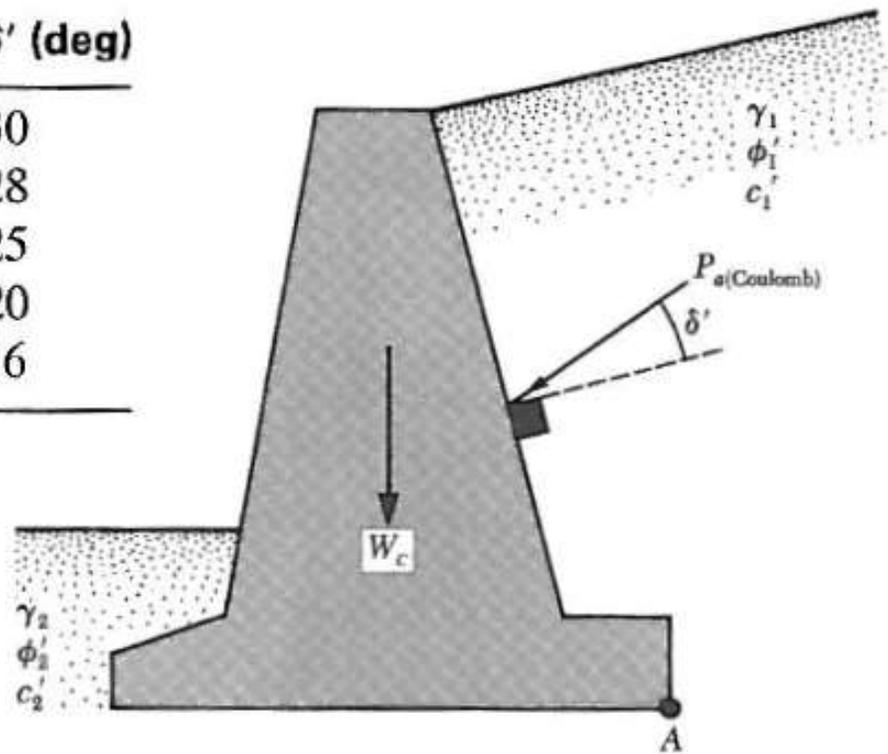
- Use of lateral earth pressure theories
  - Simplifications and approximations
    - Vertical plane AB
    - Rankine's active earth pressure
    - $W_s$  and  $W_c$  counted.

$$\eta = 45 + \frac{\alpha}{2} - \frac{\phi_1'}{2} - \sin^{-1} \left( \frac{\sin \alpha}{\sin \phi_1'} \right)$$



## Coulomb's active pressure theory

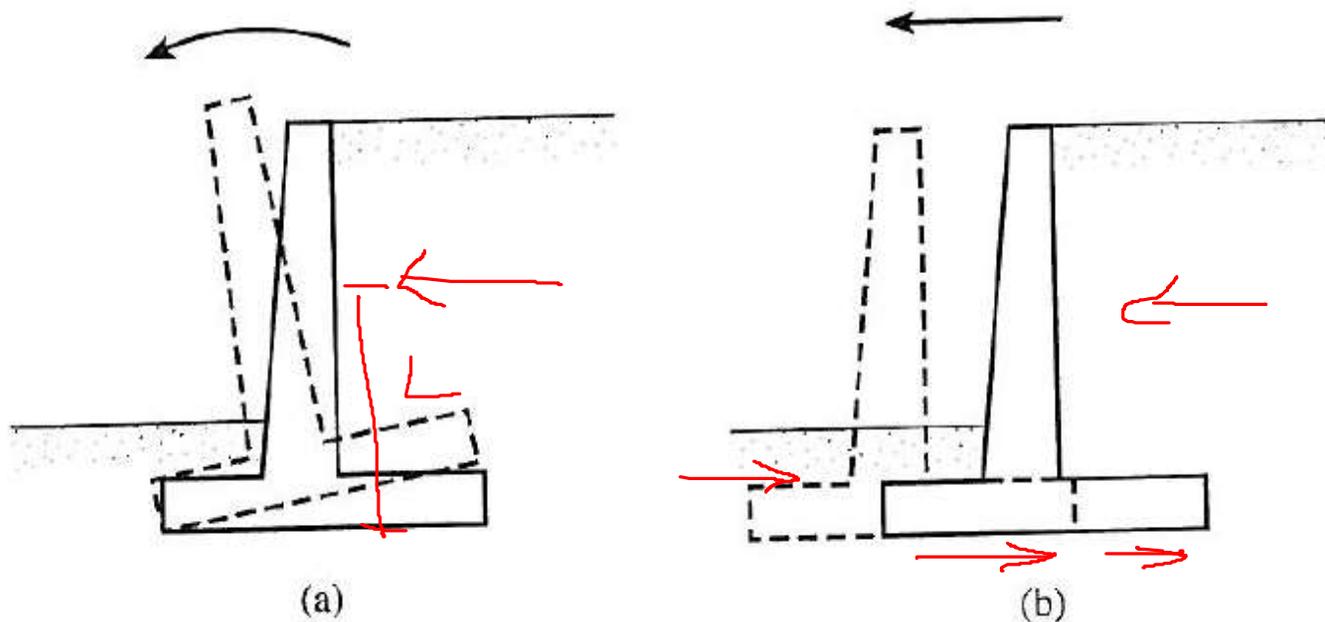
Backfill material	Range of $\delta'$ (deg)
Gravel	27–30
Coarse sand	20–28
Fine sand	15–25
Stiff clay	15–20
Silty clay	12–16



(c)

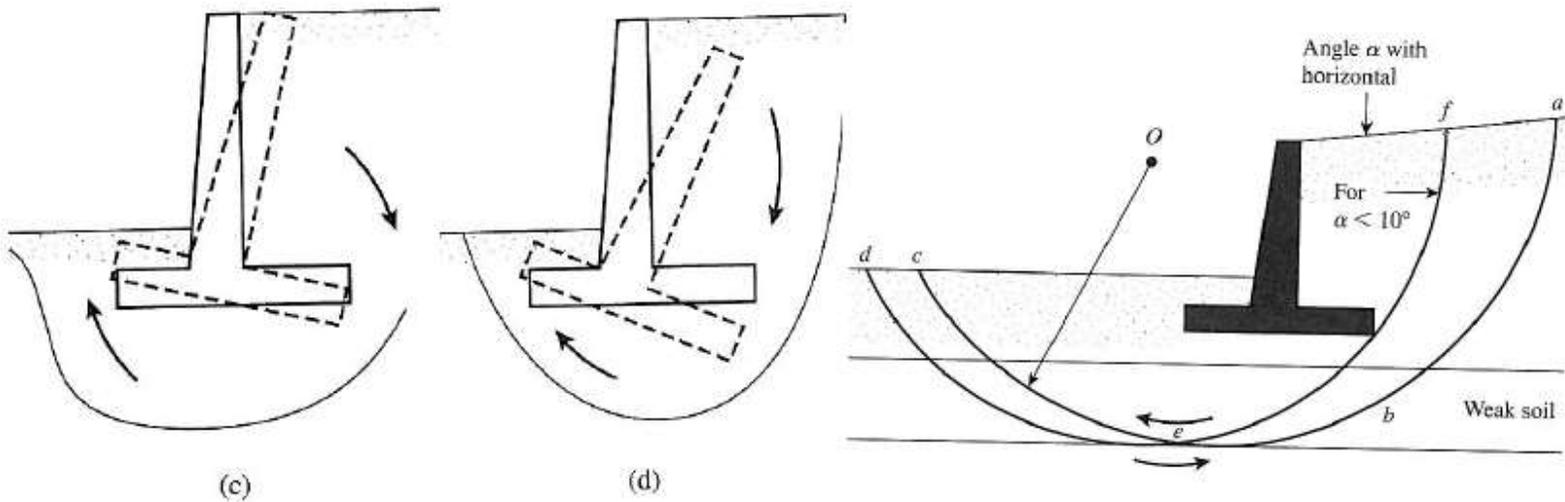
# Main steps for stability check

1. Check for overturning about its toe (a)
2. Check for sliding failure along its base (b)



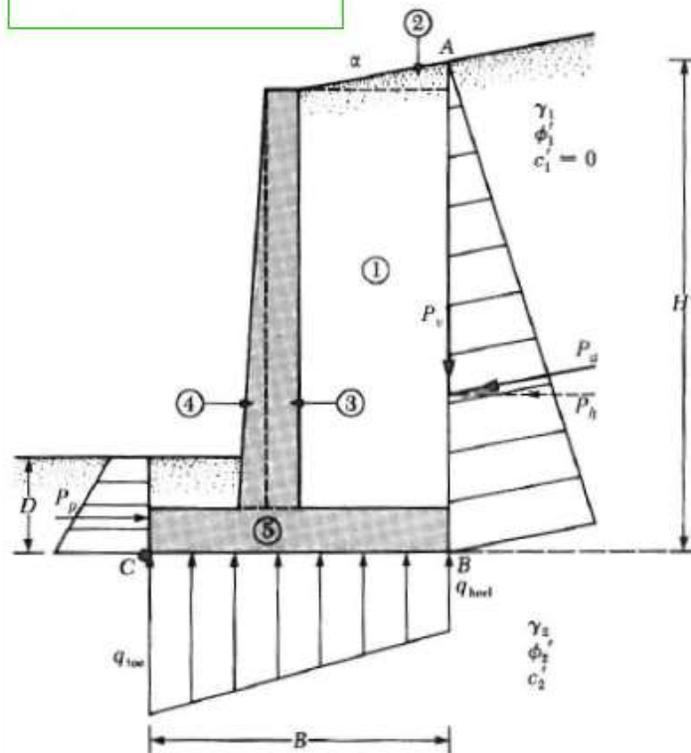
# Main steps for stability check

3. Check for bearing capacity failure of the base
  - Bearing capacity failure (c)
  - Deep seated shear failure (d)
- 4. Check for excessive settlement
- 5. Check for overall stability



# (1). Stability against Overturning

Cantilever  
Retaining wall



Rankine's active pressure acting on  $AB$ ;  
Rankine's passive pressure acting on the  
vertical line at the toe;

$$P_p = \frac{1}{2} K_p \gamma_2 D^2 + 2c_2' \sqrt{K_p} D$$

$$FS_{(\text{overturning})} = \frac{\sum M_R}{\sum M_o}$$

$$\sum M_o = P_h \left( \frac{H'}{3} \right)$$

$$P_h = P_a \cos \alpha$$

$$\sum M_R$$

$$P_v = P_a \sin \alpha$$

Procedure for calculation of  $\sum M_R$

Section (1)	Area (2)	Weight/unit length of wall (3)	Moment arm measured from C (4)	Moment about C (5)
1	$A_1$	$W_1 = \gamma_1 \times A_1$	$X_1$	$M_1$
2	$A_2$	$W_2 = \gamma_1 \times A_2$	$X_2$	$M_2$
3	$A_3$	$W_3 = \gamma_c \times A_3$	$X_3$	$M_3$
4	$A_4$	$W_4 = \gamma_c \times A_4$	$X_4$	$M_4$
5	$A_5$	$W_5 = \gamma_c \times A_5$	$X_5$	$M_5$
		$P_v$	$B$	$M_v$
		$\sum V$		$\sum M_R$

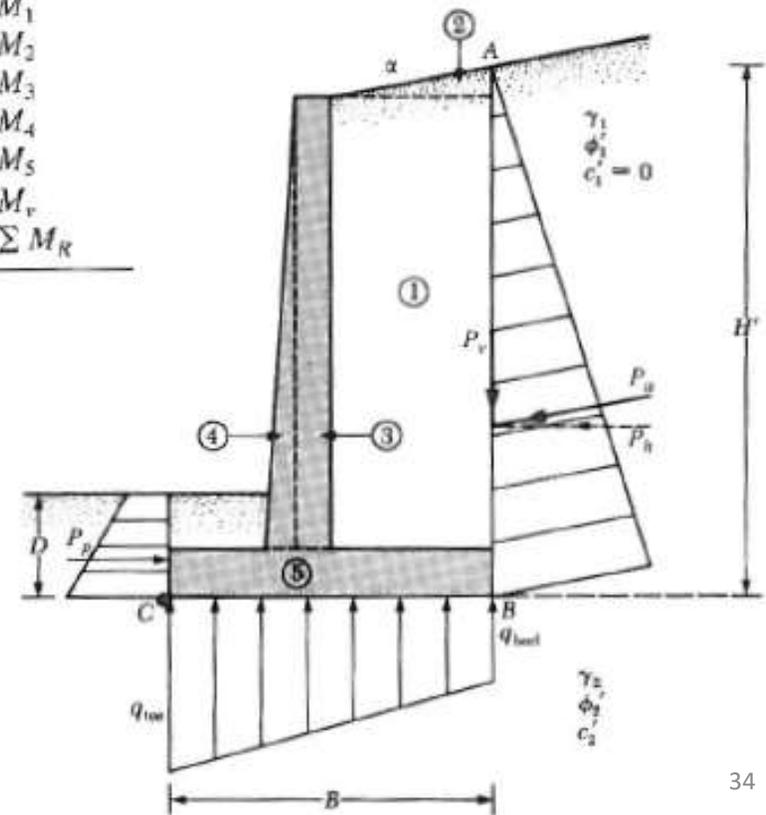
$$M_v = P_v B = P_a \sin \alpha B$$

Note:  $\gamma_1$  = unit weight of backfill  
 $\gamma_c$  = unit weight of concrete

$$FS_{(\text{overtuning})} = \frac{M_1 + M_2 + M_3 + M_4 + M_5 + M_v}{P_a \cos \alpha (H'/3)}$$

$$FS_{(\text{overtuning})} = \frac{M_1 + M_2 + M_3 + M_4 + M_5}{P_a \cos \alpha (H'/3) - M_v}$$

$$FS_{(\text{overtuning})} \approx 1.5 \sim 2.0$$



# Gravity Retaining wall

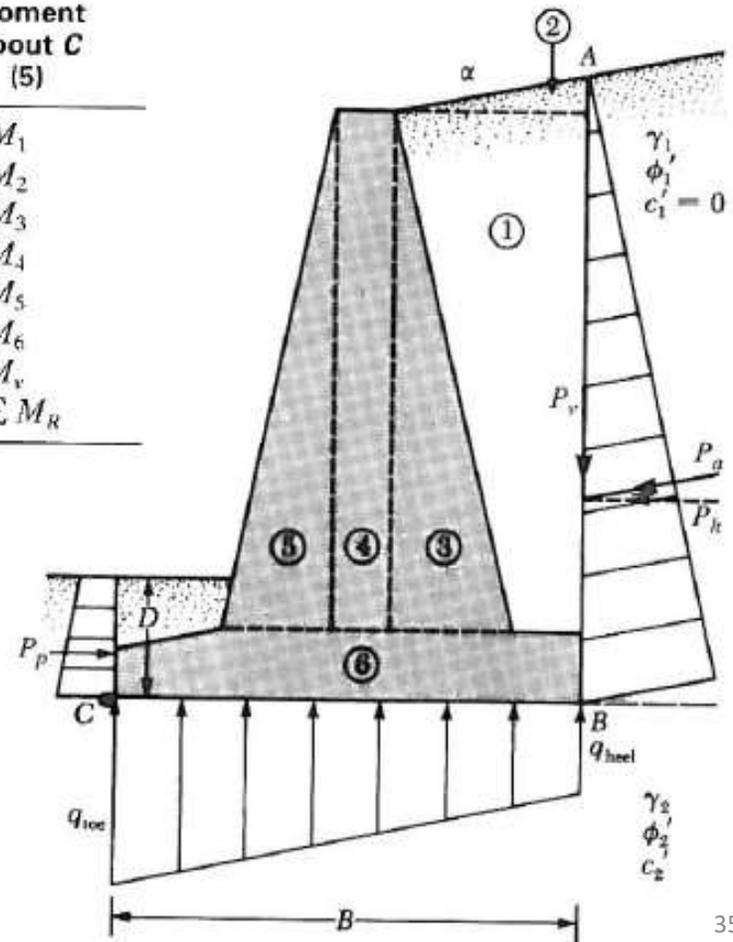
**Table 13.1** Procedure for calculation of  $\Sigma M_R$

Section (1)	Area (2)	Weight/unit length of wall (3)	Moment arm measured from C (4)	Moment about C (5)
1	$A_1$	$W_1 = \gamma_1 \times A_1$	$X_1$	$M_1$
2	$A_2$	$W_2 = \gamma_1 \times A_2$	$X_2$	$M_2$
3	$A_3$	$W_3 = \gamma_c \times A_3$	$X_3$	$M_3$
4	$A_4$	$W_4 = \gamma_c \times A_4$	$X_4$	$M_4$
5	$A_5$	$W_5 = \gamma_c \times A_5$	$X_5$	$M_5$
6	$A_6$	$W_6 = \gamma_c \times A_6$	$X_6$	$M_6$
		$P_v$	$B$	$M_v$
		$\Sigma V$		$\Sigma M_R$

Note:  $\gamma_1$  = unit weight of backfill  
 $\gamma_c$  = unit weight of concrete

$$FS_{(\text{overtuning})} = \frac{M_1 + M_2 + M_3 + M_4 + M_5 + M_6 + M_v}{P_a \cos \alpha (H'/3)}$$

$$FS_{(\text{overtuning})} = \frac{M_1 + M_2 + M_3 + M_4 + M_5 + M_6}{P_a \cos \alpha (H'/3) - M_v}$$



## (2). Stability against Sliding along the base

- The factor of safety against sliding

$$FS_{(\text{sliding})} = \frac{\sum F_{R'}}{\sum F_d} \quad \tau_f = \sigma' \tan \phi_2' + c_2'$$

$$R' = \tau_f (\text{area of cross section})$$

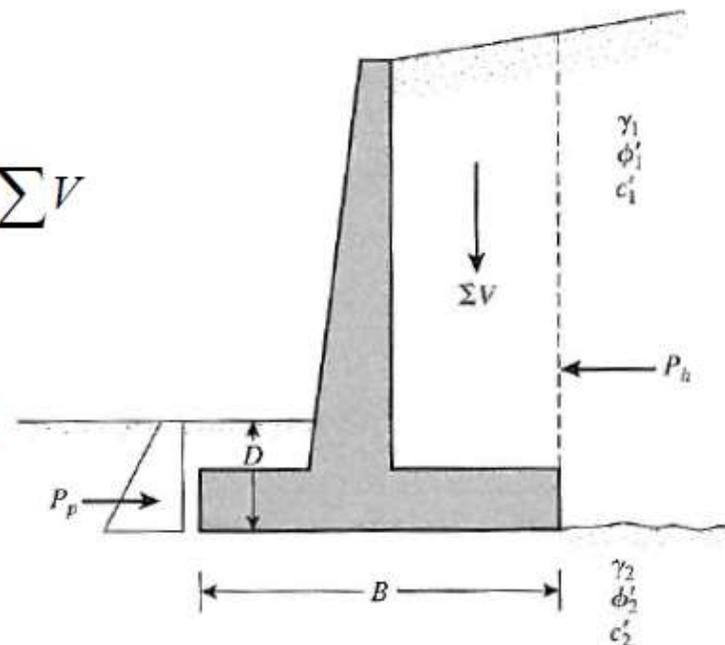
$$= \tau_f (B \times 1) = B\sigma' \tan \phi_2' + Bc_2'$$

$$B\sigma' = \text{sum of the verticle force} = \sum V$$

$$R' = (\sum V) \tan \phi_2' + Bc_2'$$

$$\sum F_{R'} = (\sum V) \tan \phi_2' + Bc_2' + P_p$$

$$\sum F_d = P_a \cos \alpha$$



$$FS_{(\text{sliding})} = \frac{(\sum V) \tan \phi_2' + Bc_2' + P_p}{P_a \cos \alpha} \geq 1.5$$

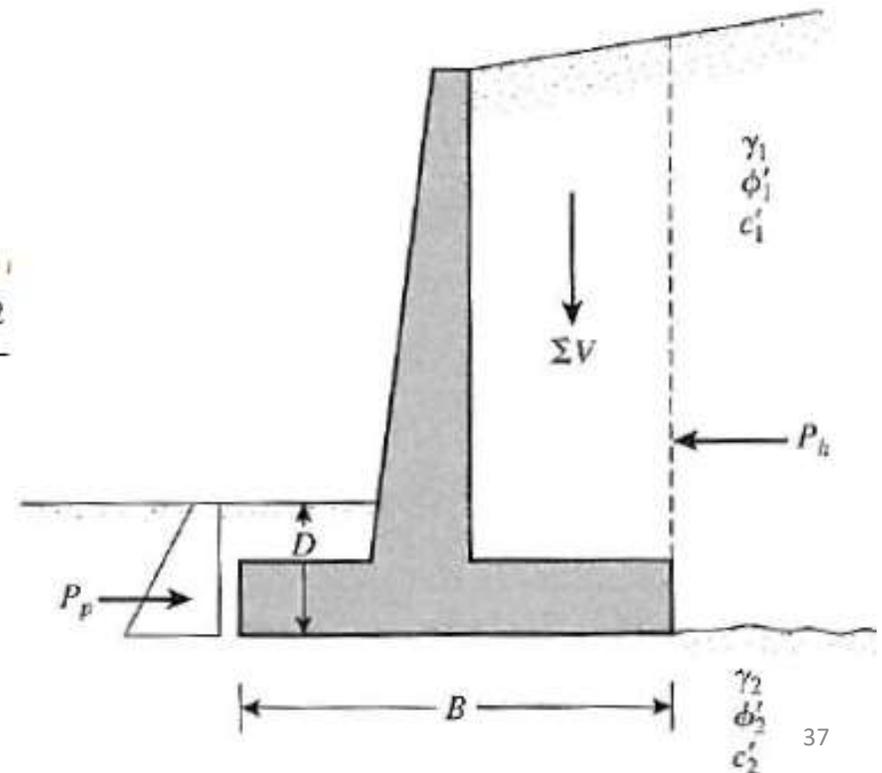
Without a base key

$$P_p = \frac{1}{2} \gamma_2 D^2 K_p + 2c_2' D \sqrt{K_p}$$

Ignore  $P_p$  and reduce  $\phi_2'$  and  $c_2'$

$$FS_{(\text{sliding})} = \frac{(\sum V) \tan(k_1 \phi_2') + Bk_2 c_2'}{P_a \cos \alpha}$$

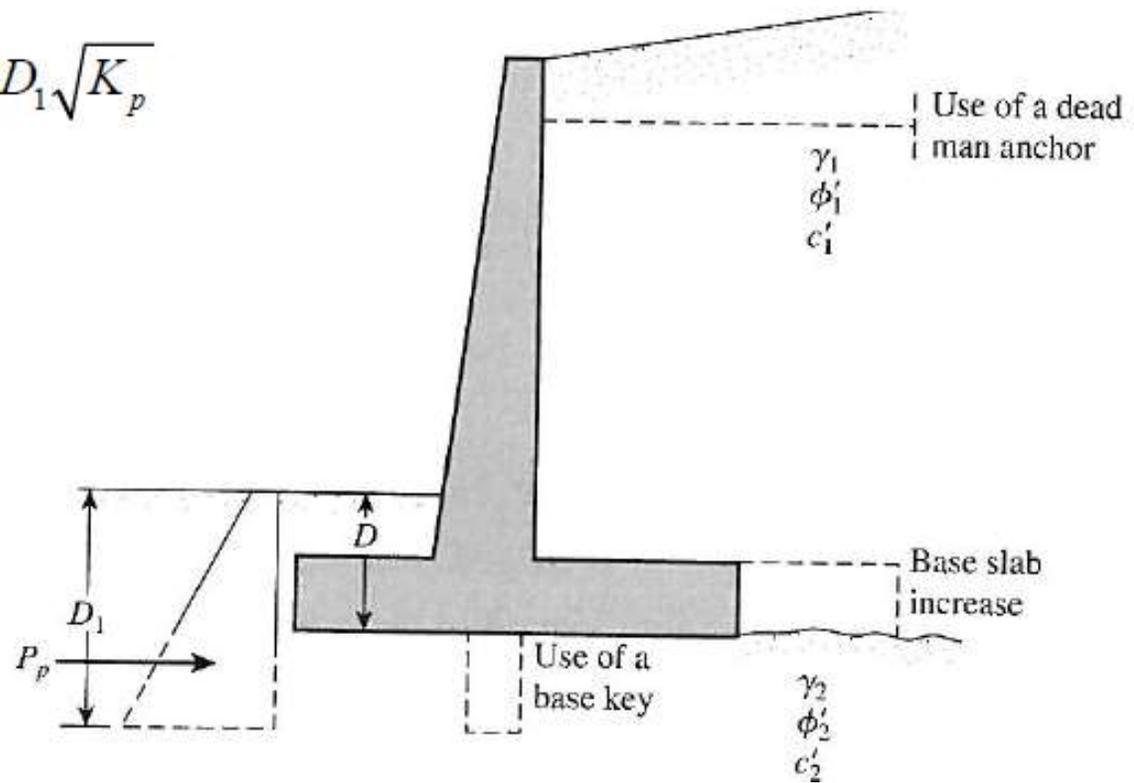
$$\left( \frac{1}{2} \leq k_1, k_2 \leq \frac{2}{3} \right)$$



- Use a base key (normally some main steel) to increase stability

$$P_p = \frac{1}{2} \gamma_2 D_1^2 K_p + 2c_2' D_1 \sqrt{K_p}$$

$$D_1 > D$$



### (3). Stability against Bearing Capacity

$$\vec{R} = \overline{\sum V} + \overline{(P_a \cos \alpha)}$$

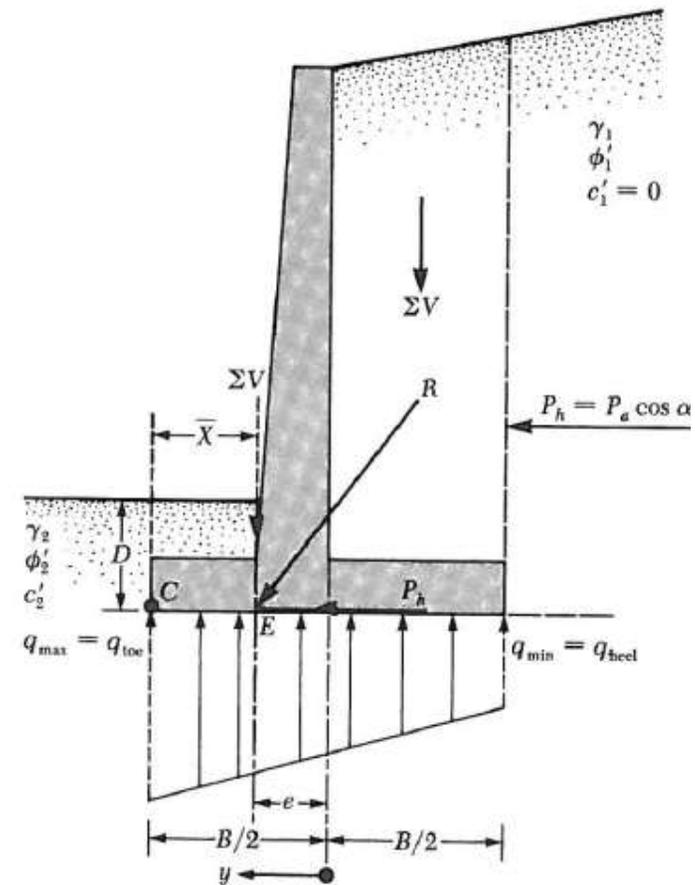
$$M_{\text{net}} = \sum M_R - \sum M_o \quad \overline{CE} = \bar{X} = \frac{M_{\text{net}}}{\sum V}$$

$$e = \frac{B}{2} - \overline{CE} \quad q = \frac{\sum V}{A} \pm \frac{M_{\text{net}} \cdot y}{I}$$

$$q_{\text{max}} = q_{\text{toe}} = \frac{\sum V}{(B)(1)} + \frac{e(\sum V) \frac{B}{2}}{\left(\frac{1}{12}\right)(B^3)} = \frac{\sum V}{B} \left(1 + \frac{6e}{B}\right)$$

$$q_{\text{min}} = q_{\text{heel}} = \frac{\sum V}{B} \left(1 - \frac{6e}{B}\right)$$

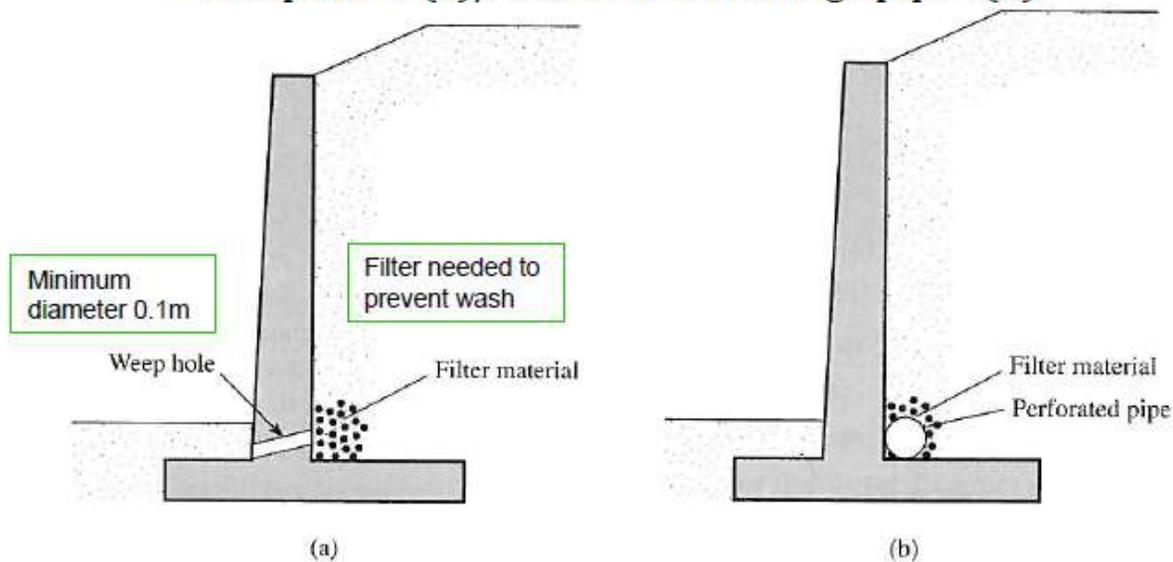
$$\frac{e}{B} \geq \frac{1}{6}, q_{\text{min}} \leq 0 \quad \text{Needs re-proportioning}$$





# Drainage

- Drainage from the backfill
  - Saturated soil (due to rainfall or other conditions) may increase the pressure on the wall
    - Create instability problem
  - Drainage types
    - Weep holes (a)/ Perforated drainage pipes (b)



- Drainage

- Weep hole/perforated pipe
- Need filter to prevent wash up of sand/clog
- Factors on the choice of filter materials – the grain size distribution of backfill materials be such that
  - The soil to be protected is not washed into the filter
  - The excessive hydrostatic pressure head is not created in the soil with a lower hydraulic conductivity

$$\frac{D_{15(F)}}{D_{85(B)}} < 5 \quad [\text{to satisfy condition (a)}]$$

(Terzaghi and Peck, 1976)

$$\frac{D_{15(F)}}{D_{15(B)}} > 4 \quad [\text{to satisfy condition (b)}]$$

F: Filter; B: Base.

$D_{15}/D_{85}$ : the diameters through which 15% and 85% of the soil will pass

Excavation is an important segment of foundation engineering (for example, in the construction of the foundations or basements of high rise buildings, underground oil tanks, or subways). However, the excavation knowledge introduced in most books on foundation engineering is too simple to handle actual excavation analysis and design. Moreover, with economic development and urbanization, excavations go deeper and are larger in scale. These conditions require elaborate analysis and design methods and construction technologies.

This book is aimed at both theoretical explication and practical application. From basic to advanced, this book attempts to achieve theoretical rigorous and consistency. Each chapter is followed by a problem set so that the book can be readily taught at senior undergraduate and graduate levels. The solution to the problems at the end of the chapters can be found on the website (<http://www.cit.nyu.edu.tw/ou/>). On the other hand, the analysis methods introduced in the book can be used in actual analysis and design as they contain the most up-to-date knowledge.

Therefore, this book is suitable for teachers who teach foundation engineering and/or deep excavation courses and engineers who are engaged in excavation analysis and design.

Fundamentals of Deep Excavations

Ou

# Fundamentals of Deep Excavations

Chang-Yu Ou



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## Definition

# Deep Excavation

Terzaghi (1943):

Whose excavation depths were larger than their widths

Terzaghi and Peck (1967) ; Peck et al. (1977):

Whose depths were deeper than 6 meters.

## Definition

# Deep Excavation

A complete deep excavation design includes :

- Retaining system
- Strutting system
- Dewatering system
- Excavation procedure
- Monitoring system
- Building protection

# Flow chart for analysis and design of an excavation

